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SANTA BARBARA · SANTA CRUZ

OFFICE OF THE CHANCELLOR 2147 Murphy Hall Box 951405 LOS ANGELES, CALIFORNIA 90095-1405

> June 8, 2016 DA 258.03

INTERIM VICE CHANCELLOR FOR RESEARCH, ANN R. KARAGOZIAN

<u>Delegation of Authority - Exceptions to Requirement to Submit Proposals to Receive</u> <u>Awards for Grants and Contracts through the University</u>

References:

- (a) UC Policy Requirements to Submit Proposals to Receive Awards for Grants and Contracts through the University- UC Operating Memo 95-01;
- (b) UCLA CALL Appendix 19: Conflict of Commitment and Outside Professional Activities (item 6).

Supersedes: New

Effective as of the date above, I hereby delegate to your position, the authority delegated to Chancellors to approve exceptions to the requirement to submit proposals and awards through the University. Such exceptions must be made in accordance with the UC Operating Memo 95-01 referenced above, that sets forth the conditions under which the Chancellor may approve exceptions to the requirement, as follows:

"Exceptions to the requirement to submit proposals and awards through the University may be granted by Chancellors in unusual circumstances on a case by case basis, after consideration of the policy areas cited above, when it is in the best interest of the University. When an exception is granted, there must be a clear distinction between service to the University and service to the external party, relevant personnel policies shall be followed, the name of the University shall not be used by the external party, and in no case shall the University assume liability for a third party's action without the approval of the Regents as required by the Bylaws and Standing Orders of The Regents."

Exception requests and approvals must be conveyed in writing with a copy of the exceptions retained by the Office of the Vice Chancellor for Research. This authority may not be further redelegated.

Gene D. Block Chancellor

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cc: Vice Chancellor, Legal Affairs
Assistant Vice Chancellor for Research
Manager, Administrative Policies & Delegations