



OFFICE OF THE CHANCELLOR  
BOX 951405  
LOS ANGELES, CALIFORNIA 90095-1405

March 28, 2002

DA 201.02

**EXECUTIVE VICE CHANCELLOR WYATT R. HUME**  
**ADMINISTRATIVE VICE CHANCELLOR PETER W. BLACKMAN**  
**VICE CHANCELLOR, GRADUATE STUDIES & DEAN, GRADUATE DIVISION CLAUDIA MITCHELL-KERNAN**  
**VICE CHANCELLOR, RESEARCH PROGRAMS ROBERTO PECCEI**  
**VICE CHANCELLOR, ACADEMIC PERSONNEL DONNA VREDEVOE**  
**VICE CHANCELLOR, STUDENT AFFAIRS**  
**PROVOSTS – COLLEGE OF LETTERS & SCIENCE AND MEDICAL SCIENCES**  
**DEANS AND INTERIM DEANS – COLLEGE OF LETTERS & SCIENCE, PROFESSIONAL SCHOOLS, HEALTH SCIENCES PROGRAMS AND CONTINUING EDUCATION & EXTENSION**  
**ASSOCIATE DEANS FOR RESEARCH, SCHOOLS OF DENTISTRY, MEDICINE, NURSING AND PUBLIC HEALTH**  
**VICE PROVOST, INTERNATIONAL STUDIES & OVERSEAS PROGRAMS GEOFFREY GARRETT**  
**VICE PROVOST, COLLEGE OF LETTERS & SCIENCE JUDITH SMITH**  
**UNIVERSITY LIBRARIAN GLORIA S. WERNER**  
**DIRECTOR, NEUROPSYCHIATRIC INSTITUTE PETER WHYBROW**

**Delegation of Authority – Exceptions to Submission of a Contract or Grant Proposal by Other Appointees**

- References:** (a) UC Contract and Grant Manual, dated 1/1/96, Subsection 1-530, Who May Submit Proposals.  
(b) UCLA Policy 900, Principal Investigator Eligibility.
- Supersedes:** UCLA DA 201.01 to Executive Vice Chancellor Hume, et al., dated October 12, 2001.

Effective as of the date above, I hereby delegate to each of you, in your respective areas of responsibility, the authority delegated to Chancellors to approve, by exception, the submission of contract or grant proposals by other than those academic appointees who are eligible to serve as Principal Investigators, as specified in UCLA Policy 900 - Principal Investigator Eligibility. Such exceptional approval must be in accordance with UC Contract and Grant Manual, Subsection 1-530 - Who May Submit Proposals, which sets the conditions under which the Chancellor may approve submission of a contract or grant proposal by other appointees in special circumstances, as follows:

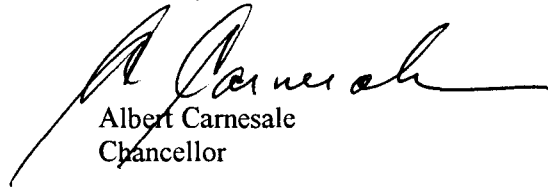
“By exception, Chancellors, Vice Presidents, or the Dean of University Extension may approve the submission of a contract or grant proposal by other appointees in special circumstances when such action is in the best interest of the University, and provided that space and facilities can be assigned

without detriment to the regular instructional and research responsibilities of the University. In such cases, a written explanation of the approval should be included in the project file as a matter of record.”

UCLA Policy 900 describes the current types of UCLA employees who may be eligible to serve as a Principal Investigator and those who may be eligible on an exception basis, including selected academic appointees and, on rare occasions, non-academic staff who meet certain requirements.

Exceptional approvals must be conveyed in writing along with the research proposal to the Office of Contract and Grant Administration. This authority may not be further redelegated.

Sincerely,



Albert Carnesale  
Chancellor

cc: Joseph P. Mullinix - Senior Vice President, Business & Finance  
James E. Holst - General Counsel and Vice President for Legal Affairs  
Alan Bennett - Executive Director Research Administration & Technology Transfer  
Jeanne L. Gardner - Special Assistant, Coordination and Review  
Administrative Policies Coordinator Carole Zaima